

# NATIONAL FINANCIAL

*a Fidelity Investments company*

**NOTICE NUMBER 05-055**

Please note that transfers from qualified plans, including 401(k) plans, Profit Sharing Plans, Money Purchase Plans, and Pension Plans are reportable transactions and should be processed as Direct Rollover Contributions (DRC mnemonic). Personal checks from the client representing a 60-day rollover must be processed using the mnemonic ROC. If you have any questions, please contact the Transfer Department at 800-877-2410, select option 8, and then select option 1.

**SAMPLE LETTER  
(Prior Custodian Letterhead)**

<Date>

National Financial Services

Re: Check #: \_\_\_\_\_  
Amount: \$ \_\_\_\_\_  
Customer Name: \_\_\_\_\_  
Decedent Name (for Inherited IRAs only): \_\_\_\_\_

To whom it may concern:

Our firm is the IRA custodian/trustee for the above mentioned customer. The attached check represents a custodian-to-custodian transfer from the customer's (Traditional/Roth/other) IRA account under our custody to the same customer's IRA account number \_\_\_\_\_ with you.

This transfer of assets is a non-reportable transaction.

Yours truly,

\_\_\_\_\_  
Name

\_\_\_\_\_  
Title