



# Household Relationship Form

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Statement householding is a service option your Broker/Dealer may choose to offer. Please consult your Broker/Dealer for householding options available to you.

The householding process will automatically link investors' brokerage accounts into a consolidated envelope when the accounts meet all of the following criteria: 1) same exact address; 2) same registration code group: Individual, Joint, or "Like"; 3) Same Social Security numbers for all owners\*; and 4) same last name associated with each Social Security number.

Account holders who share the same exact mailing address, but do not meet the criteria for automatic householding, may request to create a statement household.

The consolidated envelope will contain a statement for each account in the Household Relationship as well as a Consolidated Summary of Accounts Page.

Use this form to create new Household Relationships, add to an existing Household Relationship or to modify an existing Household Relationship.

If any account holder changes an address on any account in the Household Relationship, such that it is different from the mailing address (designated by the Primary Account in the Household Relationship), then the account will not be included in the consolidated envelope, nor will it be listed on the Consolidated Summary of Accounts Page.

For a list of eligible account types that can be consolidated into a Household Relationship, please refer to the chart on the back of this form.

A maximum of 60 accounts is allowed in one Household Relationship.

If the Customer does not choose the Primary Account, then the system will automatically select the Primary Account. The customer name and address for the Primary Account is the name and address displayed on the Household Summary page. In order to identify the "best name" to display on the Household Summary page for mailing purposes, Fidelity Investments has a prioritization process which uses a weighted average based on registration type to select the Primary Account or "best name" for the household.

\* With Uniform Gifts to Minors Act (UGMA) and Uniform Transfers to Minors Act (UTMA) accounts, there is an exception to the exact SSN matching criteria. For accounts with these registration codes, the SSN of the custodian is used for matching purposes rather than the SSN of the minor.



# 1

## To Create a Household Relationship

Please list the account numbers you are requesting to consolidate for the purposes of your Household Relationship.

Account Number

 - 

Signature of Account Holders

Account Number

 - 

Signature of Account Holders

Account Number

 - 

Signature of Account Holders

Account Number

 - 

Signature of Account Holders

Account Number

 - 

Signature of Account Holders

# 2

## Add Additional Accounts to Existing Household Relationship

Use this section to add an account to an already existing Household Relationship.

Every owner of each account in the Household must sign in this Section to authorize your Broker/Dealer and National Financial Services LLC ("NFS") to add accounts to the Household Relationship.

### Account to be Added

Account Number

 - 

Signature of Account Holders

### Authorized Signatures for Household

Account Number

 - 

Signature of Account Holders

Account Number

 - 

Signature of Account Holders

Account Number

 - 

Signature of Account Holders

### 3

## Modify Existing Household Relationship

(Remove, Exclude, Remove Exclusion, Reassign Primary Account, Dissolve Household Relationship)

**Check this box if you wish to Reassign Primary Account**

Every owner of each account in the Household must sign in this Section to give NFS permission to Reassign the Primary Accounts in the Household Relationship.

When the Primary Account selected is purged, terminated or has a change of address, and another one is not selected, an account within the Household shall be designated as the Primary Account for mailing purposes.

### New Primary Account

Account Number

 - 

Signature of Account Holders

### Authorized Signatures for Household Relationship

Account Number

 - 

Signature of Account Holders

Account Number

 - 

Signature of Account Holders

Account Number

 - 

Signature of Account Holders

**Check this box if you wish to Remove an Account from the Household**

Account Number

 - 

Signature of Account Holders

**Check this box if you wish to Exclude an Account from the Household Process**

Account Number

 - 

Signature of Account Holders

**Check this box if you wish to Remove Exclusion and reinstate account in the Household Process**

Account Number

 - 

Signature of Account Holders

**Check this box if you wish to Dissolve an Existing Household Relationship**

Every owner of each account in the Household must sign in this Section to give NFS permission to Dissolve the Household Relationship.

Please consult your Broker/Dealer to ensure all household relationships have been dissolved.

**Authorized Signatures for Household**

Account Number

Signature of Account Holders

Account Number

Signature of Account Holders

Account Number

Signature of Account Holders

The following lists the accounts that are eligible for consolidation into a Household Relationship:

- |   |                                    |
|---|------------------------------------|
| Individual (I)  | Bank (BK)                          |
| Individual TOD (TODI)                                 | Church/Religious Order (CH)        |
| Non-Prototype IRA (NIRA)                              | Committee (CM)                     |
| Premiere Select® IRA (IRA)                            | Conservator (CV)                   |
| Premiere Select® Rollover IRA (IRRL)                  | Corporation (CP)                   |
| Premiere Select® Roth IRA (ROTH)                      | Executor (EXEC)                    |
| Premiere Select® SEP IRA (IS)                         | Guardian (GD)                      |
| Premiere Select® SIMPLE IRA (SMPL)                    | Investment Club (IC)               |
| Premiere Select® Retirement Plan (KMP, KMV, KPS, KPV) | Limited Liability Company (LLC)    |
| UGMA  | Non-Prototype Retirement Plan (NP) |
| UTMA  | Partnership (PT)                   |
| Joint by Entirety (TIE)                               | Personal Representative (PR)       |
| Joint by Entirety TOD (TODE)                          | Professional Association (PA)      |
| Joint Community Property (COMP)                       | Professional Corporation (PC)      |
| Joint Tenants in Common (TIC)                         | Sole Proprietorship (SP)           |
| Joint USUFRUCT (UF)                                   | Trust Under Agreement (TRUA)       |
| Joint With Rights of Survivorship (J)                 | Trust Under Will (TRUW)            |
| Joint With Rights of Survivorship TOD (TODJ)          | Unincorporated Association (UA)    |
| Administrator (ADM)                                   |                                    |

Every account owner for each account being consolidated into a householded relationship and being consolidated into one envelope must sign the appropriate section to authorize the requested instructions. For any account where account ownership is registered to a trust or a custodial account, you as trustee or custodian understand that you are responsible for complying with your legal responsibilities and fiduciary obligations, and you, on behalf of the trust or custodian, indemnify the Broker/Dealer and/or NFS and its affiliates from any loss, claim, expense or other liability for any instructions given to the Broker/Dealer and/or NFS in conjunction with householding consolidation.